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1. Scope

This document specifies conditions which can lead to cancellation, suspension or scope reduction of certification issued to its certified client by the ECAE CD under its certification scope.

2. Policy

The intent of this document is to support the effective implementation of the quality policy of ECAE CD (CD/PC/PO1).

3. Purpose

The purpose of this document is to describe those conditions which can lead to cancelling, suspending or reducing scope of ECAE CD clients' certification in order to ensure the consistency and effectiveness of decisions on certification and actions taken.

4. Reference documents

- ISO/IEC 17065: Conformity assessment – Requirements for bodies certifying products, processes and services
- ISO Guides or Scheme

5. Responsibility

It is the responsibility of DC to implement this Criteria Document.

6. Definitions and abbreviations


6.1 Definitions of terms

For the purpose of this procedure, the definitions given in ISO 9000, ISO/IEC 17000 and ISO/IEC 17065 apply.

6.2 Abbreviations

CD: Certification Directorate

CRD: Criteria document

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DC: Director, Certification

ECAE: Ethiopian conformity assessment enterprise

PC: Product certification

PO: Policy

7. Criteria for Termination, Suspension, or Scope Reduction of Certification

All ECAE CD certified clients' certification shall be suspended, terminated (withdrawn) or the scope of its certification reduced depending upon the following conditions:


7.1 Conditions for suspension of certification

The following conditions shall lead to suspension of certification:

- Non-payment of certification fee,
- Significant areas of non-conformance with applicable standards,
- Ineffective or untimely (delay of) correction of observed non-conformances,
- Ineffective completion and implementation of program revisions required to meet any change in certification requirements (relevant standard(s)),
- Misuse of certificate of conformity or ES mark,
- The client's management system or product has persistently or seriously failed to meet certification requirements,
- The certified client does not allow surveillance audits to be conducted at the required frequencies, or
- The certified client has voluntarily requested a suspension

7.2 Condition for certification withdrawal (cancellation)

Certification withdrawal shall occur, if:

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- The rules and standards as a basis for the application have changed and these changes have not been realized by the client within an agreed period of time,
- Non-compliances have been revealed during the surveillance audits and have not been resolved by the client within six months,
- Certificate of conformity or the ES mark has been used in an incorrect manner (e.g. misuse or incorrect advertising)
- The client has failed to fulfill its financial obligations (e.g. payment of charges (fees))
- Failure to resolve the issues that have resulted in suspension in a time established in agreement with the client
- The client has voluntarily requested for withdrawal
- There occurs closure of a company or facility
- There occurs falsification of any nature.

7.3 Conditions for Reduction of certification Scope

The scope of an already granted certification shall be reduced if:

- Failure to resolve the issues that have resulted in suspension in a time established in agreement with the client,
- The certified client has voluntarily requested for reduction of scope,
- When the client has persistently or seriously failed to meet the certification requirements for those parts of the scope of certification

8. Subsidiary documents

- CD/PC/CRD4.1.2: Certification agreement
- Relevant ES
- Relevant certification scheme

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